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# FACSIMILE COVER SHEET

Date:	April 20, 2004	Fax:	703-872-9306
To:	U.S. Patent and Trademark Office	Phone:	703-305-8283

#### **Information Disclosure Statement**

From:	Rachel V. Leiterman
Serial No.:	10/785,620
Docket:	LUM-03-01-03
Re:	Information Disclosure Statement
Pages:	3 (including cover sheet)

## Message:

Rc: A

Applicant(s):

Regina B. Mueller-Mach, Gerd O. Mueller, T. Juestel, W. Busselt, P. J.

Schmidt, W. Mayr

Assignee:

Lumileds Lighting U.S., LLC

Title:

Phosphor Converted Light Emitting Device

Serial No.:

10/785,620

Filed:

February 23, 2004

Group Art Unit:

Not yet assigned

Docket No.:

LUM-03-01-03

#### Dear Sir:

Transmitted herewith are the following document in the above-identified application:

- (1) This Fax Transmittal (1 page);
- (2) Information Disclosure Statement Under 37 C.F.R. §1.97(b) (1 page);
- (3) Form PTO/SB/08A citing 4 Reference (1 page);
- (4) Copies of the cited US Patent Documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived

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**CENTRAL FAX CENTER** PATENT LAW GROUP LL

PAGE 02/03

# IN THE UNITED STATES PATENT AND TRADEM

Applicant(s):

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Examiner:

Not yet assigned

Group Art Unit:

Not yet assigned

Docket No.:

LUM-03-01-03

San Jose, California April 20, 2004

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention:
- a representation that a search has been made; or 2. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Patent Law Group LLP 2635 North First St., Suito 223 San Jose, CA 95134 (408) 382-0480 FAX (408) 382-0481

Certification of Pacsimile Transm	nission
I hereby certify that this paper is being facsing the U.S. Patent and Trademark Office on the below.	nile transmitted to date shown
Signature Leuterman	9/20/07 Date

Respectfully submitted,

Rachel V. Leiterman Attorney for Applicant(s) Reg. No. 46,868

Sheet

PTO/SB/08A (08-03) Approved for use through 07/31/2008, OMB 0651-0031

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Substitute for form 1449/PTO	Complete if Known				
	Application Number	10/785,620			
	Filing Date	February 23, 2004			

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

<b>~</b>				
Application Number	10/785,620			
Filing Date	February 23, 2004			
First Named Inventor	Regina Mueller-Mach			
Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	11 IM-03-01-03	_		

Examiner	Cite	Document Number	Publication Date	Name of Petentee or	Pages, Columns, Lines, Where
initials*	No.	Number-Kind Coda <sup>2 (f Mount)</sup>	MW-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appeer
		<sup>us-</sup> 6,576,930 B2	06-10-2003	Reeh et al.	
		<sup>US-</sup> 2004/0007961 A1	01-15-2004	Srivastava et al.	
		<sup>US-</sup> 6,501,100 B1	12-31-2002	Srivastava et al.	•
		<sup>US-</sup> 5,998,925	12-07-1999	Shimizu et al.	
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FOREIGN PATENT DOCUMENTS						
Cite No.	Foreign Patent Document	Pubilcation Date	Namo of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
	Country Code <sup>3</sup> Number <sup>4</sup> "Kind Code <sup>5</sup> (# known)	MM-DD-YYYY				
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֡		No.' Foreign Patent Document	Cite Foreign Patent Document Publication Date MM-DD-YYYY	Cite Foreign Patent Document Publication Name of Patentee or Applicant of Cited Document  MM-DD-YYYY		

Exeminer	Date	
Signature	Considered	
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"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbole as indicated on the document under WIPO Standard ST.18 if possible." Applicant is to place a check mark here if English language

the appropriate symbols as indicated on the occument under YVIFO distribution is establed.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sont to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.